

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10512008	
	Filing Date		2005-08-11	
	First Named Inventor	Mark A. Atkinson		
	Art Unit	1633		
	Examiner Name	Priebe, Scott David		
	Attorney Docket Number	36689.42		

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1	Goudy KS, , Wasserfall C, Burkhardt B, Brusko T, Song S, Ellis T, Flotte T, Atkinson MA., Elucidation of Time and Dose Dependencies Using AAV-IL-10 Gene Therapy for Prevention of Type 1 Diabetes in the NOD Mouse. Abstract No. 46. Molecular Therapy. 2002 May;5(5):Part 2 of 2 Parts. S17.	<input type="checkbox"/>
2	Goudy KS, Burkhardt BR, Wasserfall C, Song S, Campbell-Thompson ML, Brusko T, Powers MA, Clare-Salzler MJ, Sobel ES, Ellis TM, Flotte TR, Atkinson MA., Systemic overexpression of IL-10 induces CD4+CD25+ cell populations in vivo and ameliorates type 1 diabetes in nonobese diabetic mice in a dose-dependent fashion. J Immunol. 2003 Sep 1;171(5):2270-8.	<input type="checkbox"/>
3	Song S, Goudy K, Campbell-Thompson M, Wasserfall C, Scott-Jorgensen M, Wang J, Tang Q, Crawford JM, Ellis TM, Atkinson MA, Flotte TR., Recombinant adeno-associated virus-mediated alpha-1 antitrypsin gene therapy prevents type I diabetes in NOD mice. Gene Ther. 2004 Jan;11(2):181-6.	<input type="checkbox"/>

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Mark D. Moore/	Date (YYYY-MM-DD)	2007-03-30
Name/Print	Mark D. Moore, Ph.D.	Registration Number	42903

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